

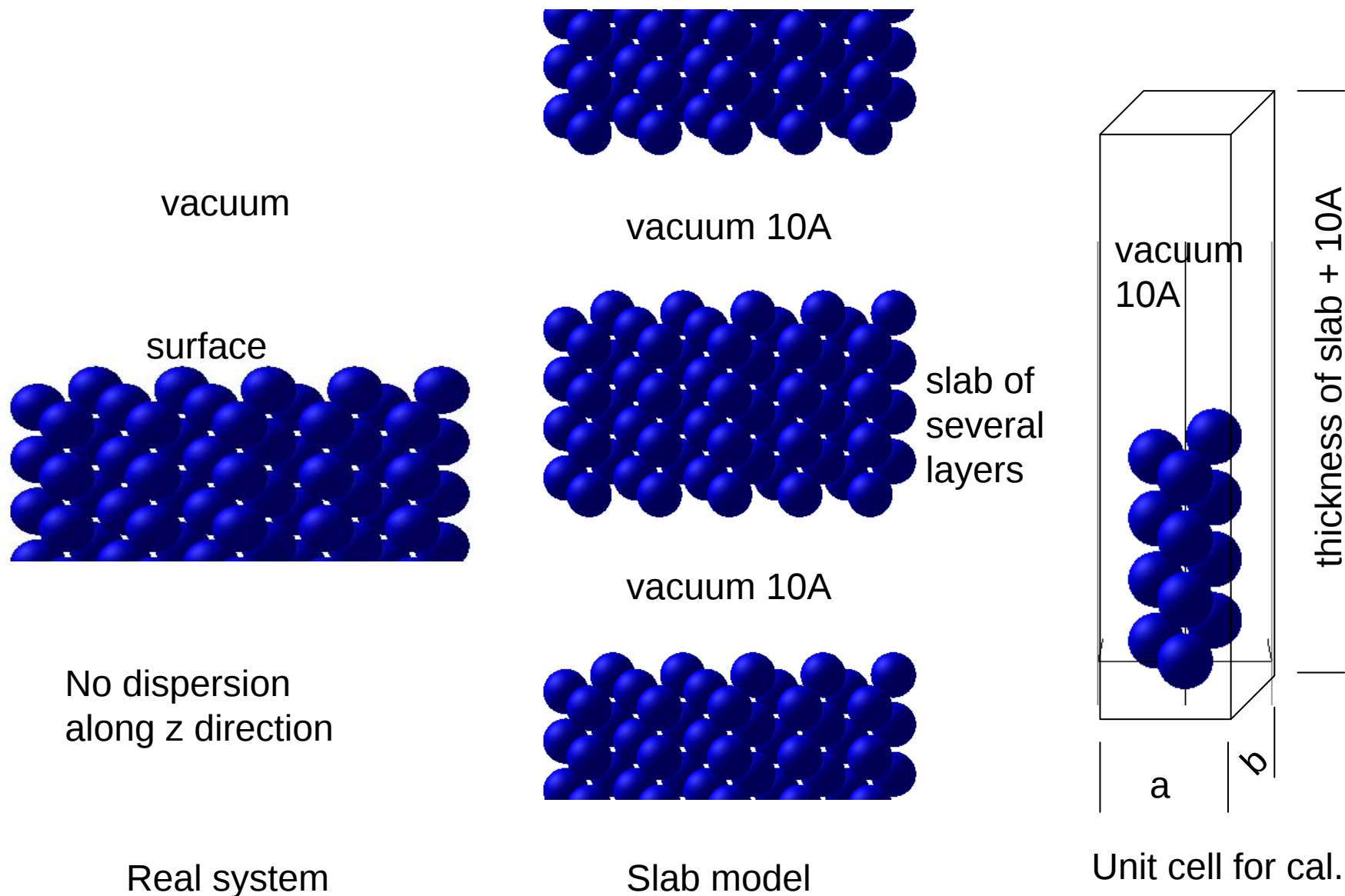
CMS II-8: surface and molecule

Horng-Tay Jeng

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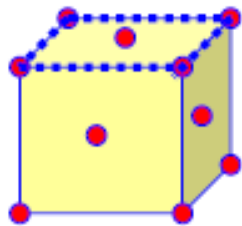
Slab model for surface calculation



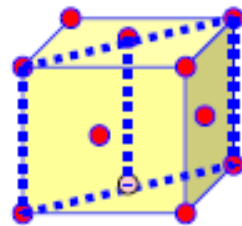
- Repeated slab model

D_v : Vacuum thickness (10 Å)

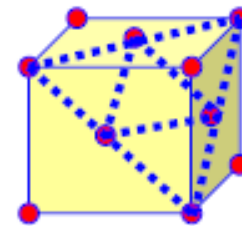
N : Slab thickness (5 ~ 13 layers)
(for usual cases)



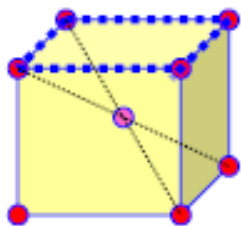
FCC(001)



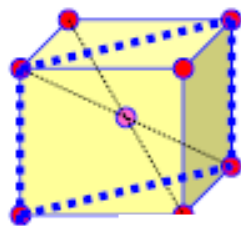
FCC(110)



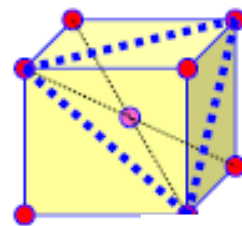
FCC(111)



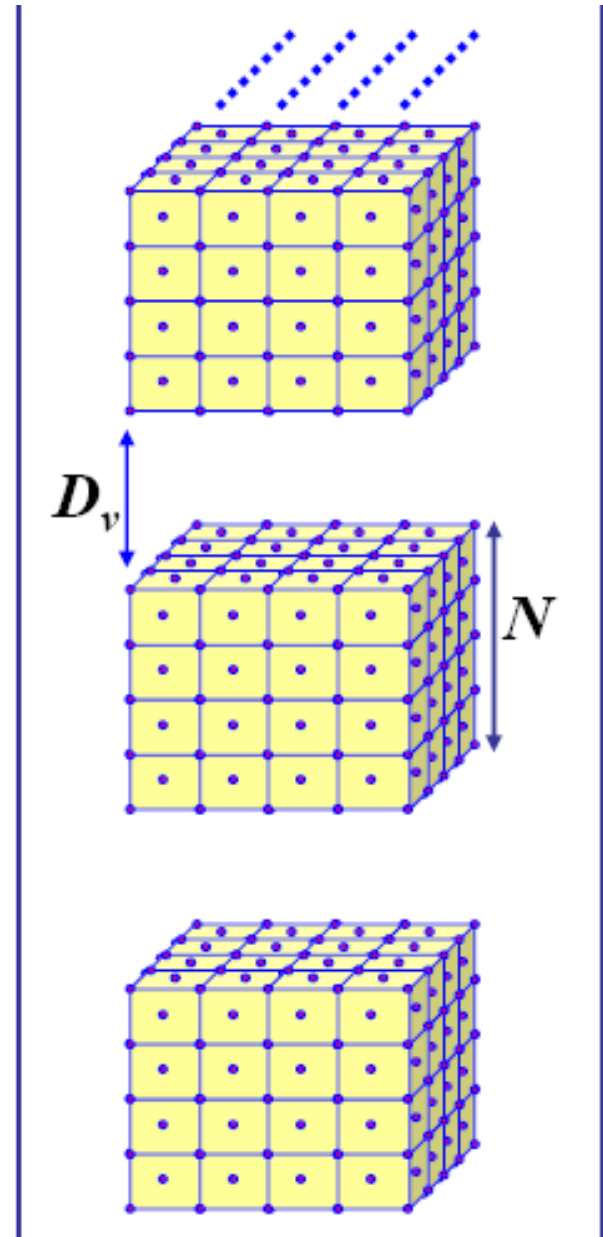
BCC(001)



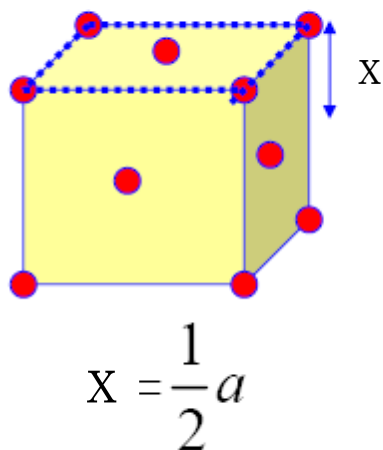
BCC (110)



BCC (111)



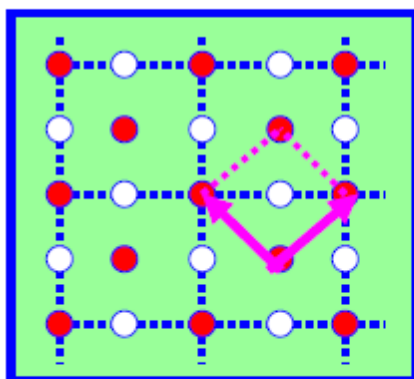
FCC (001) surface



$$\vec{a}_1 = a\left(\frac{\sqrt{2}}{2} \quad 0 \quad 0\right)$$

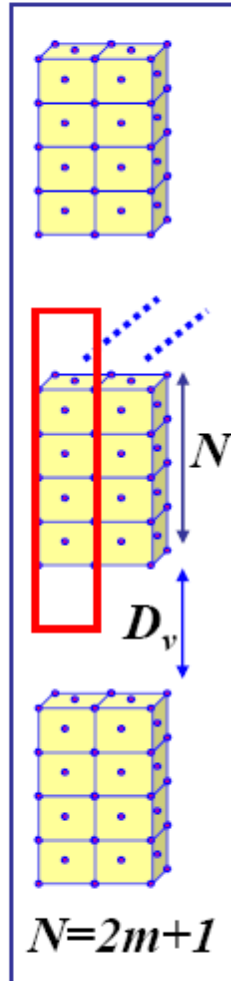
$$\vec{a}_2 = a\left(0 \quad \frac{\sqrt{2}}{2} \quad 0\right)$$

$$\vec{a}_3 = a(0 \quad 0 \quad C)$$

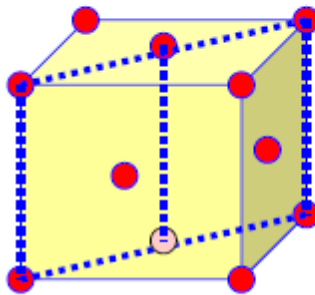


C=slab thickness
+10A

?	?	mx
....		
0.0	0.0	$2x$
0.5	0.5	x
0.0	0.0	0
0.5	0.5	$-x$
0.0	0.0	$-2x$
....		
?	?	$-mx$



FCC (110) surface

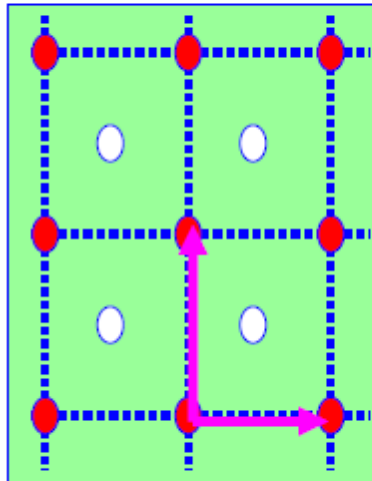


$$x = \frac{\sqrt{2}}{4}a$$

$$\vec{a}_1 = a \left(\frac{\sqrt{2}}{2} \quad 0 \quad 0 \right)$$

$$\vec{a}_2 = a (0 \quad 1 \quad 0)$$

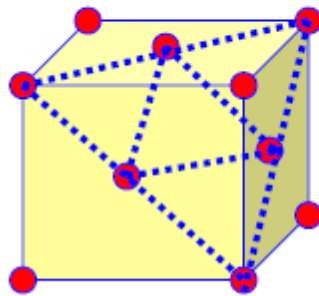
$$\vec{a}_3 = a (0 \quad 0 \quad C)$$



C=slab thickness
+10Å

?	?	mx
....		
0.0	0.0	$2x$
0.5	0.5	x
0.0	0.0	0
0.5	0.5	$-x$
0.0	0.0	$-2x$
....		
?	?	$-mx$

FCC (111) surface (HEX)

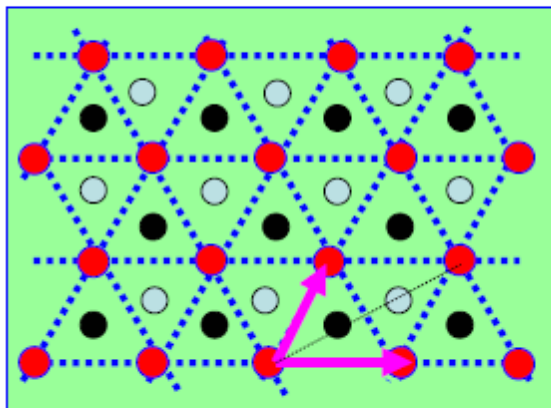


$$x = \frac{\sqrt{3}}{3} a$$

$$\vec{a}_1 = \frac{\sqrt{2}}{2} a (1 \ 0 \ 0)$$

$$\vec{a}_2 = \frac{\sqrt{2}}{2} a \left(\frac{1}{2} \ \frac{\sqrt{3}}{2} \ 0 \right)$$

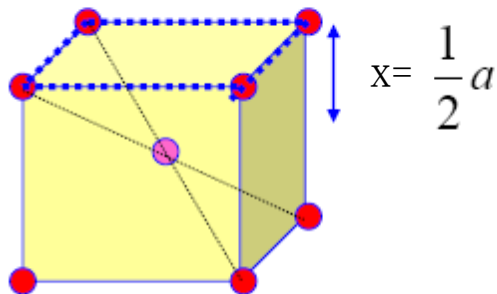
$$\vec{a}_3 = a (0 \ 0 \ C)$$



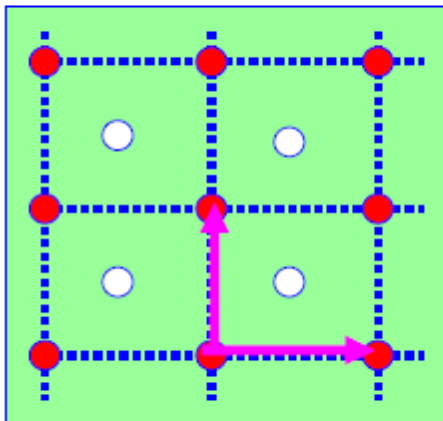
C=slab thickness
+10Å

?	?	mx
....		
$2/3$	$2/3$	$2x$
$1/3$	$1/3$	x
0.0	0.0	0
$-1/3$	$-1/3$	$-x$
$-2/3$	$-2/3$	$-2x$
....		
?	?	$-mx$

BCC (001) surface



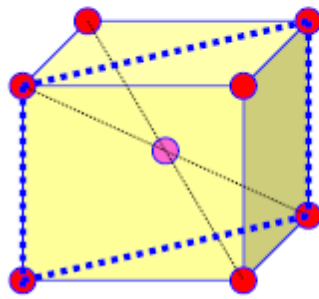
$$\begin{aligned}\vec{a}_1 &= a(1 \ 0 \ 0) \\ \vec{a}_2 &= a(0 \ 1 \ 0) \\ \vec{a}_3 &= a(0 \ 0 \ C)\end{aligned}$$



$C = \text{slab thickness} + 10\text{\AA}$

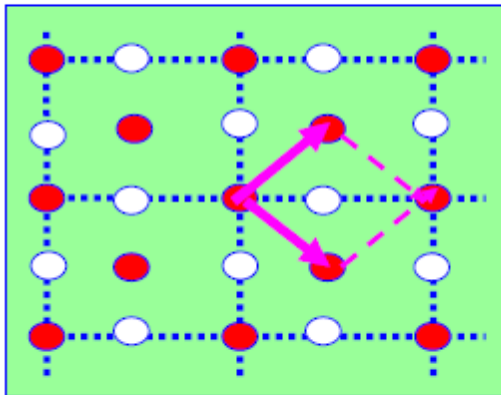
?	?	mx
....		
0.0	0.0	$2x$
0.5	0.5	x
0.0	0.0	0
0.5	0.5	$-x$
0.0	0.0	$-2x$
....		
?	?	$-mx$

BCC (110) surface



$$x = \frac{\sqrt{2}}{2}a$$

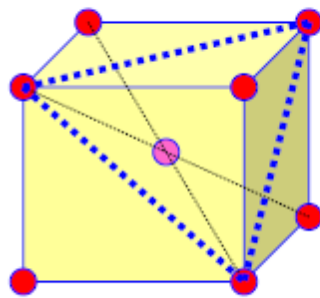
$$\begin{aligned}\vec{a}_1 &= a\left(\frac{\sqrt{2}}{2} \quad -\frac{1}{2} \quad 0\right) \\ \vec{a}_2 &= a\left(\frac{\sqrt{2}}{2} \quad \frac{1}{2} \quad 0\right) \\ \vec{a}_3 &= a(0 \quad 0 \quad C)\end{aligned}$$



C=slab thickness
+10Å

?	?	mx
....		
0.0	0.0	$2x$
0.5	0.5	x
0.0	0.0	0
0.5	0.5	$-x$
0.0	0.0	$-2x$
....		
?	?	$-mx$

BCC (111) surface (HEX)

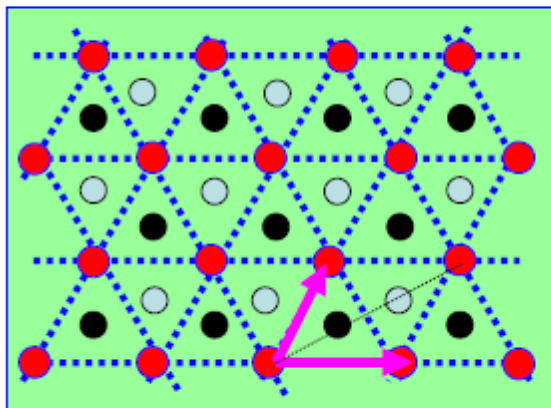


$$x = \frac{\sqrt{3}}{6}a$$

$$\vec{a}_1 = \sqrt{2}a(1 \ 0 \ 0)$$

$$\vec{a}_2 = \sqrt{2}a\left(\frac{1}{2} \ \frac{\sqrt{3}}{2} \ 0\right)$$

$$\vec{a}_3 = a(0 \ 0 \ C)$$



C=slab thickness
+10Å

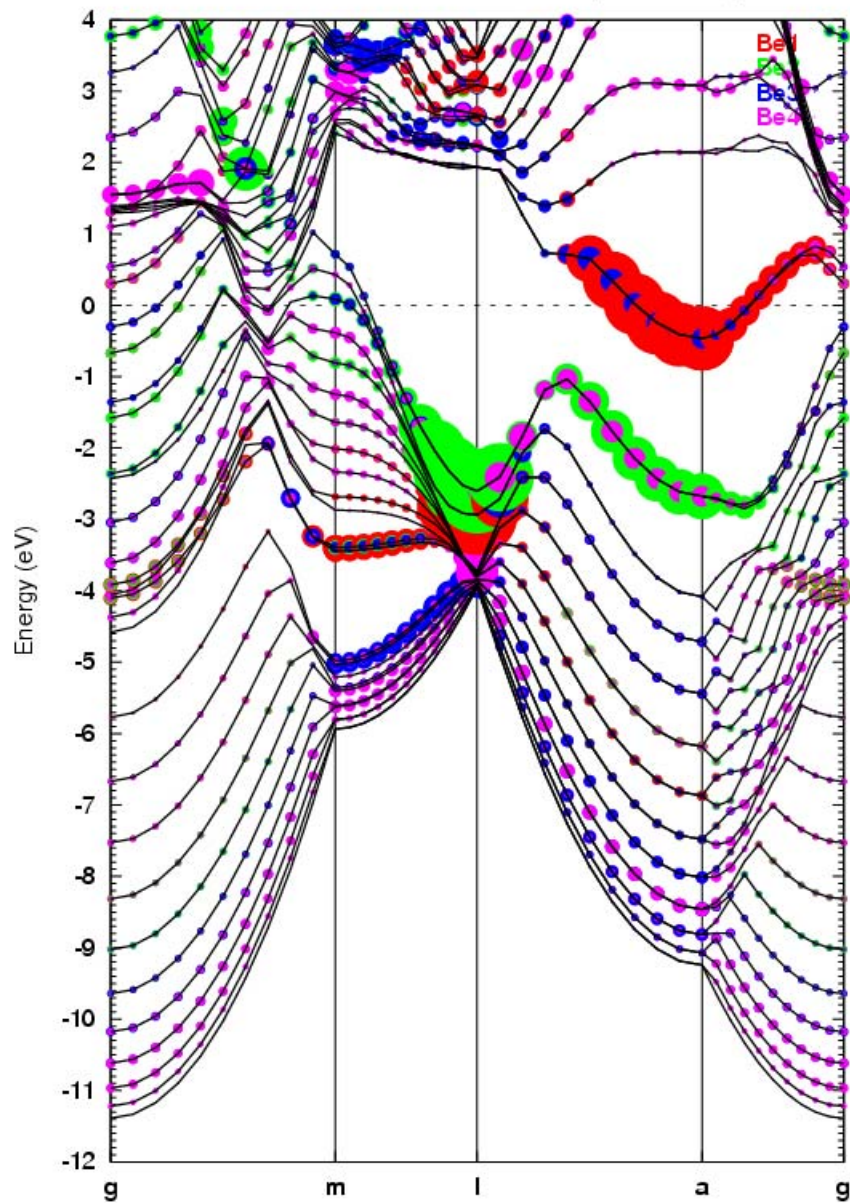
?	?	mx
....		
$2/3$	$2/3$	$2x$
$1/3$	$1/3$	x
0.0	0.0	0
$-1/3$	$-1/3$	$-x$
$-2/3$	$-2/3$	$-2x$
....		
?	?	$-mx$

Be(1010) and Mg(1010) surface

	1 st layer relaxation	1 st layer thermal contraction	SS decay length	Energy shift (relaxation)	Energy shift (thermal contraction)	EP coupling constant λ
Mg(1010)	-14.5% ^a	$\Delta d_{12}/\Delta T$ = - 0.015% (1/K) ^c	S1 20 ML ^e S2 52 ML ^e	S1 5.5 meV ^e S2 6.0 meV ^e	S1 ($\Delta E/\Delta T$) -0.364×10 ⁻⁴ eV/K ^e S2 ($\Delta E/\Delta T$) 0.969×10 ⁻⁴ eV/K ^e	S1 0.304 ^e S2 0.391 ^e
Be(1010)	-25% ^b	$\Delta d_{12}/\Delta T$ = - 0.019% (1/K) ^d	S1 4 ML ^f S2 6 ML ^f	S1 29 meV ^f S2 178 meV ^f	S1($\Delta E/\Delta T$) -0.61×10 ⁻⁴ eV/K ^f S2 ($\Delta E/\Delta T$) 1.71×10 ⁻⁴ eV/K ^f	S1 0.646 ^f S2 0.491 ^f

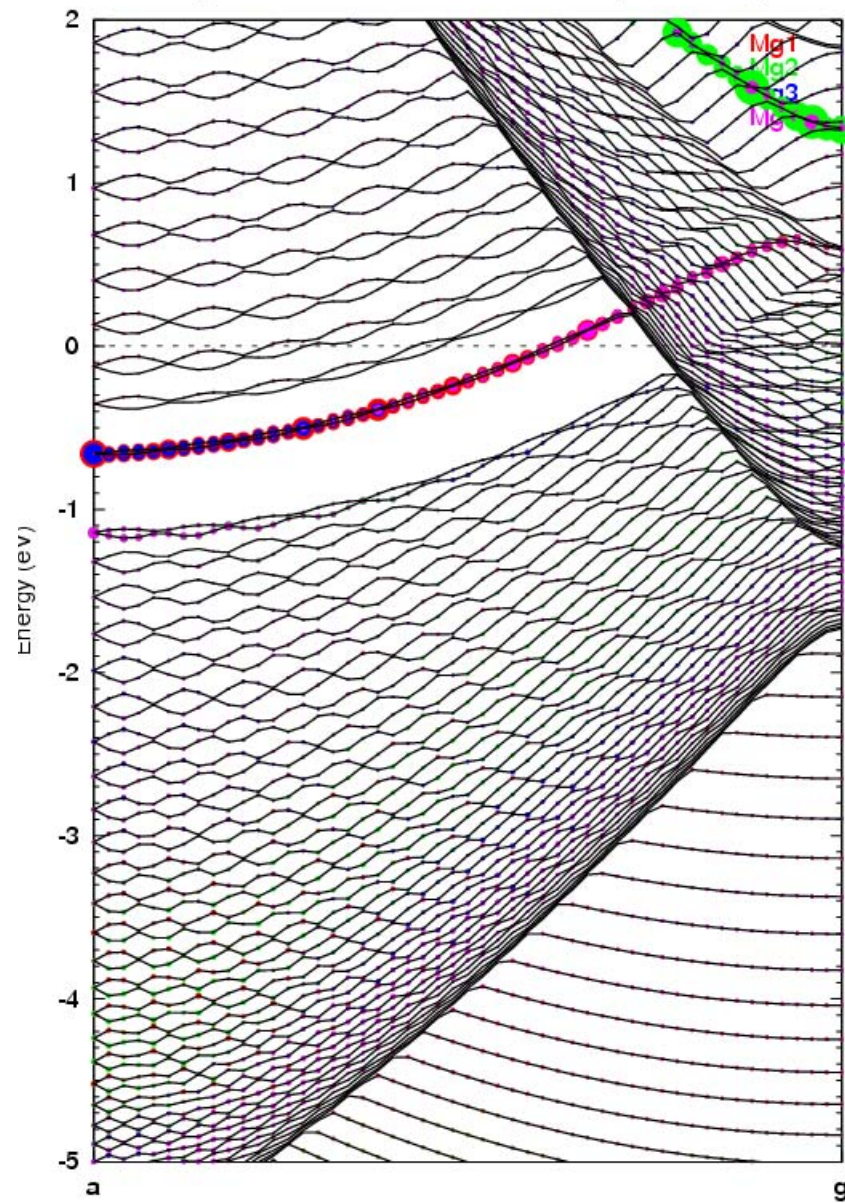
Be 24layer

Be HCP->Ort->surface 1x1x24 rlx (PAW-16121)



Mg 72layer

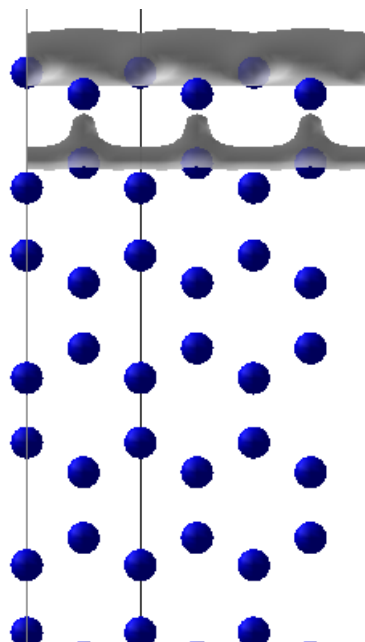
Mg HCP->Ort->surface short 1x1x72 rlx (PAW-16121)



Be(1010)

010

S2
K55
B16



Mg(1010)

010

S2
K1
B71

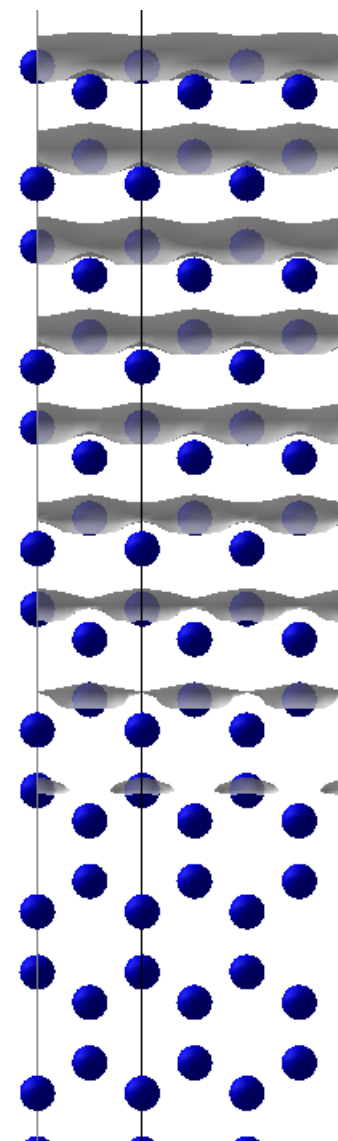


Table 1 Relaxation of topmost interlayer spacing at unreconstructed clean metal surfaces

Each entry consists of:

Chemical symbol (interlayer spacing change from bulk value).

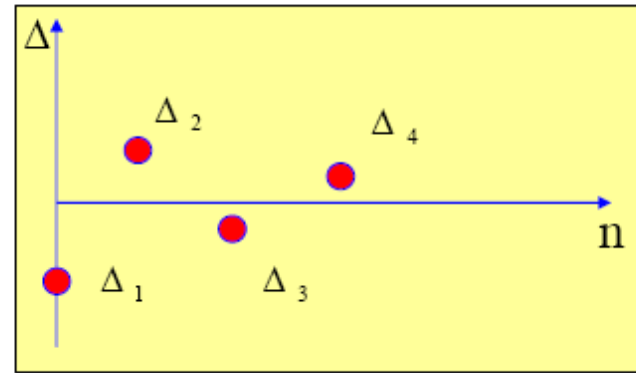
[After F. Jona and P. M. Marcus, in "The Structure of Surfaces II," eds. J. F. van der Veen and M. A. Van Hove, Springer-Verlag (Heidelberg, 1988), p. 90]

hcp(0001)	Re (-5%), Sc (-2%), Ti (-2%), Zr (-1%)
fcc(111)	Al (+1%), Ag (0%), Cu (-0.7%), Pt (+1%), Rh (0%)
bcc(110)	Fe (+0.5%), Na (0%), V (-0.3%), W (0%)
fcc(100)	Al (0%), Cu (-1%), Rh (0%)
bcc(100)	Fe (-5%), Mo (-9.5%), Ta (-11%), V (-7%), W (-8%)
fcc(110)	Al (-8.5%), Ag (-8%), Cu (-8.5%), Ni (-8.5%), <u>Pb (-16%)</u> , Rh (-3%)
hcp(10-10)	<u>Re (-17%)</u>
bcc(211)	<u>Fe (-10%), W (-12%)</u>
fcc(311)	<u>Al (-13%), Ni (-16%)</u> , Cu (-5%)
bcc(310)	<u>Fe (-16%)</u>
fcc(331)	<u>Al (-12%)</u>
fcc(210)	<u>Al (-15.5%)</u>
bcc(111)	<u>Fe (-17%)</u>
bcc(210)	<u>Fe (-22%)</u>

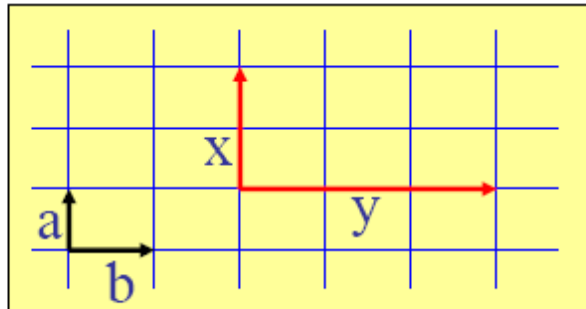
Relaxation and reconstruction

(i) Contraction (metal)

$$\Delta_i = \frac{d_i - d_{o(ideal)}}{d_{o(ideal)}}$$



(ii) Reconstruction



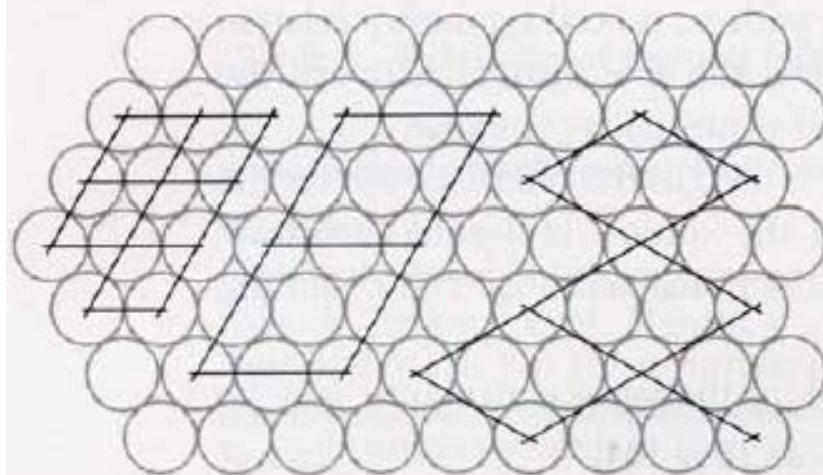
$P(2 \times 3)$ or (2×3)

$$\vec{x} = n\vec{a}$$

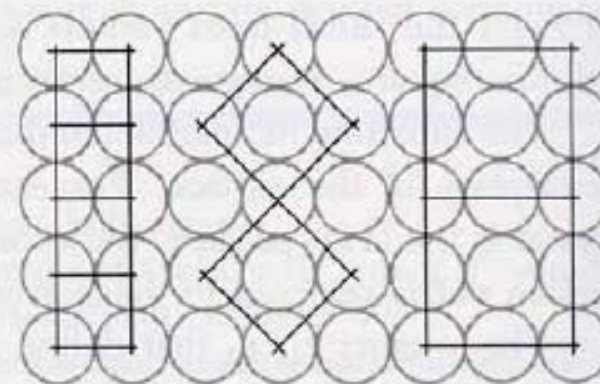
$$\vec{y} = m\vec{b}$$



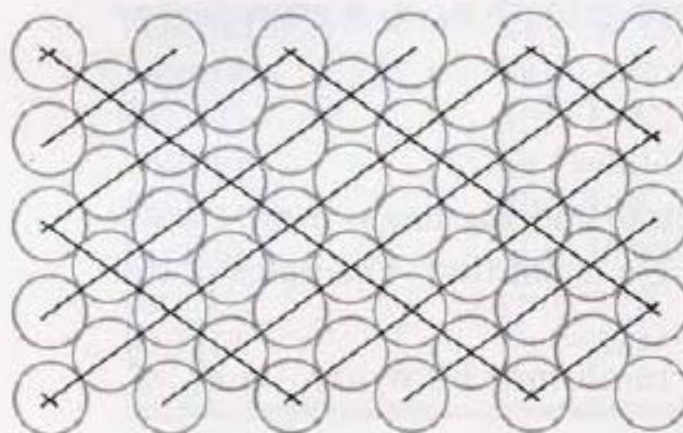
$$p(n \times m)$$



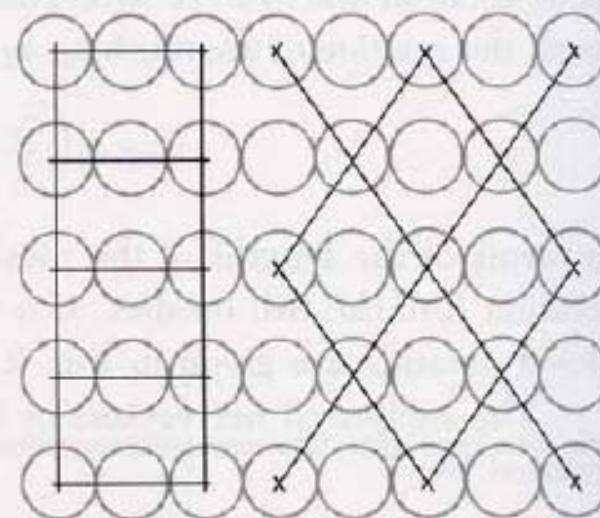
$p(1 \times 1)$ $p(2 \times 2)$ $(\sqrt{3} \times \sqrt{3})R30^\circ$
 $\text{fcc}(111), \text{hcp}(0001)$
 (a)



$p(1 \times 1)$ $c(2 \times 2)$ $p(2 \times 2)$
 $\text{fcc}(100), \text{bcc}(100)$
 (b)



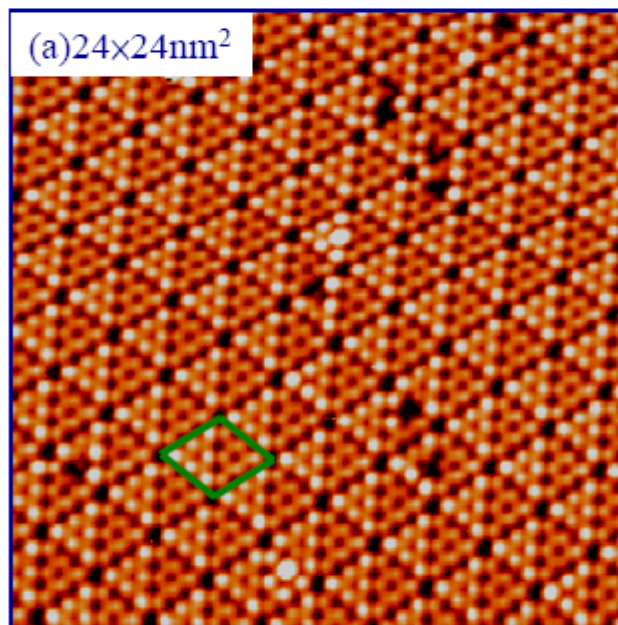
$p(2 \times 1)$
 $\text{bcc}(110)$
 (c)



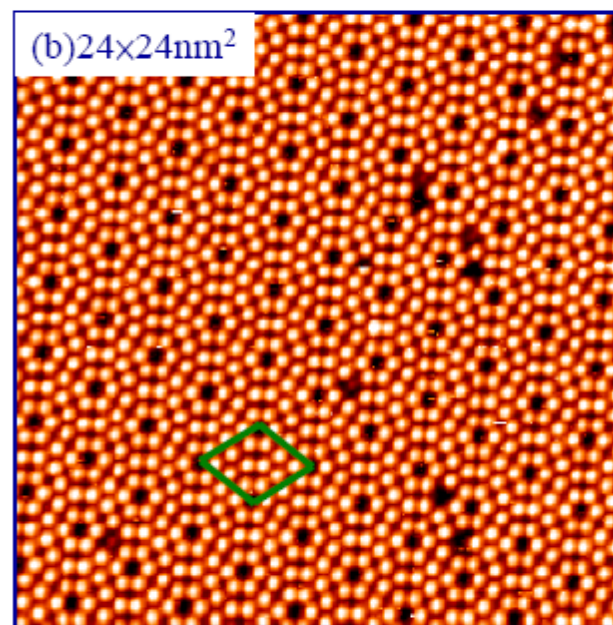
$p(2 \times 1)$ $c(2 \times 2)$
 $\text{fcc}(110)$
 (d)

Si(111) 7x7 surface reconstruction measured
by STM under positive and negative bias

施加正負樣品偏壓下所得之7x7重構
圖像



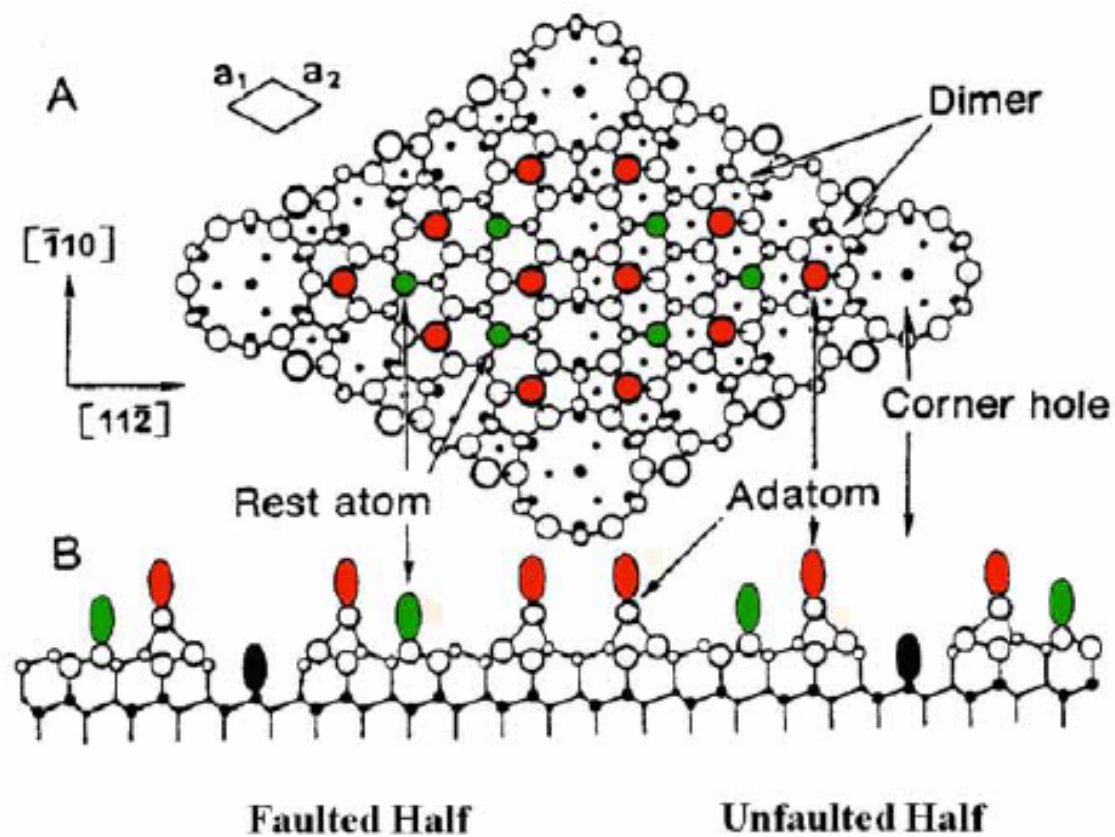
樣品偏壓：-1.5V
bias



樣品偏壓：+1.5V
bias

Reconstruction model

Si (111) -7×7重構DAS模型



D : 9 dimers

A : 12 adatoms

S : 1 Stacking
fault

19 Dangling
bonds

Surface energy

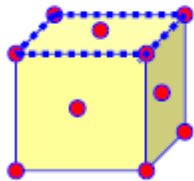
Energy required to create the surfaces from bulk

Depend on (i) temperature (decreases as T increases)

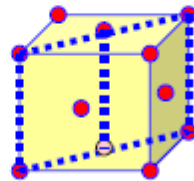
(ii) material (cohesive energy)

(iii) orientation : number of bond cut

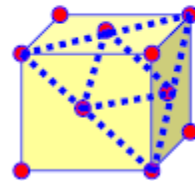
Surface energy $\gamma \sim$ area of the surface unit cell



FCC(001)



FCC(110)



FCC(111)

$$\gamma_{111} < \gamma_{100} < \gamma_{110}$$

Repeated slab model

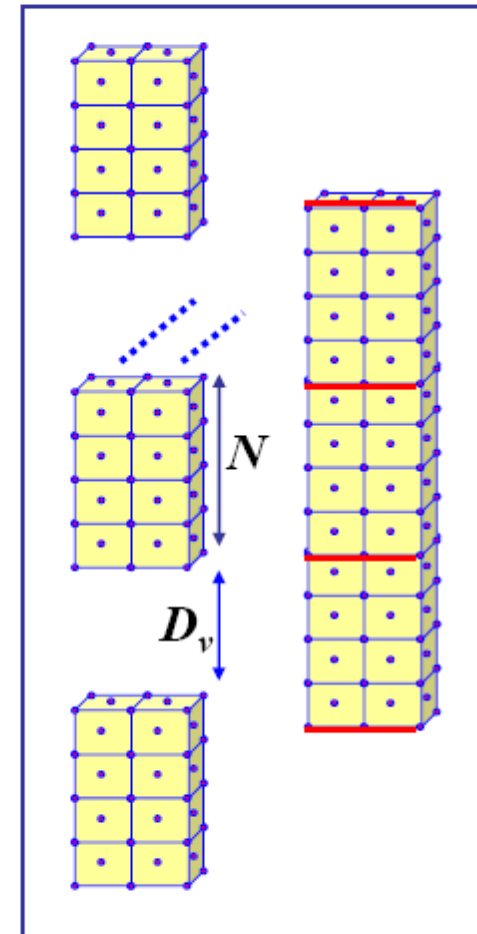
D_v : Vacuum thickness ($10 \text{ \AA}$)

N : Slab thickness ($5 \sim 13 \text{ layers}$)

Surface energy per surface atom

$$\gamma = \frac{1}{2} (E_{slab} - NE_{bulk})$$

E_{bulk} : Energy of an atom in bulk



Surface energy of Li(001) calculated using different slab thickness

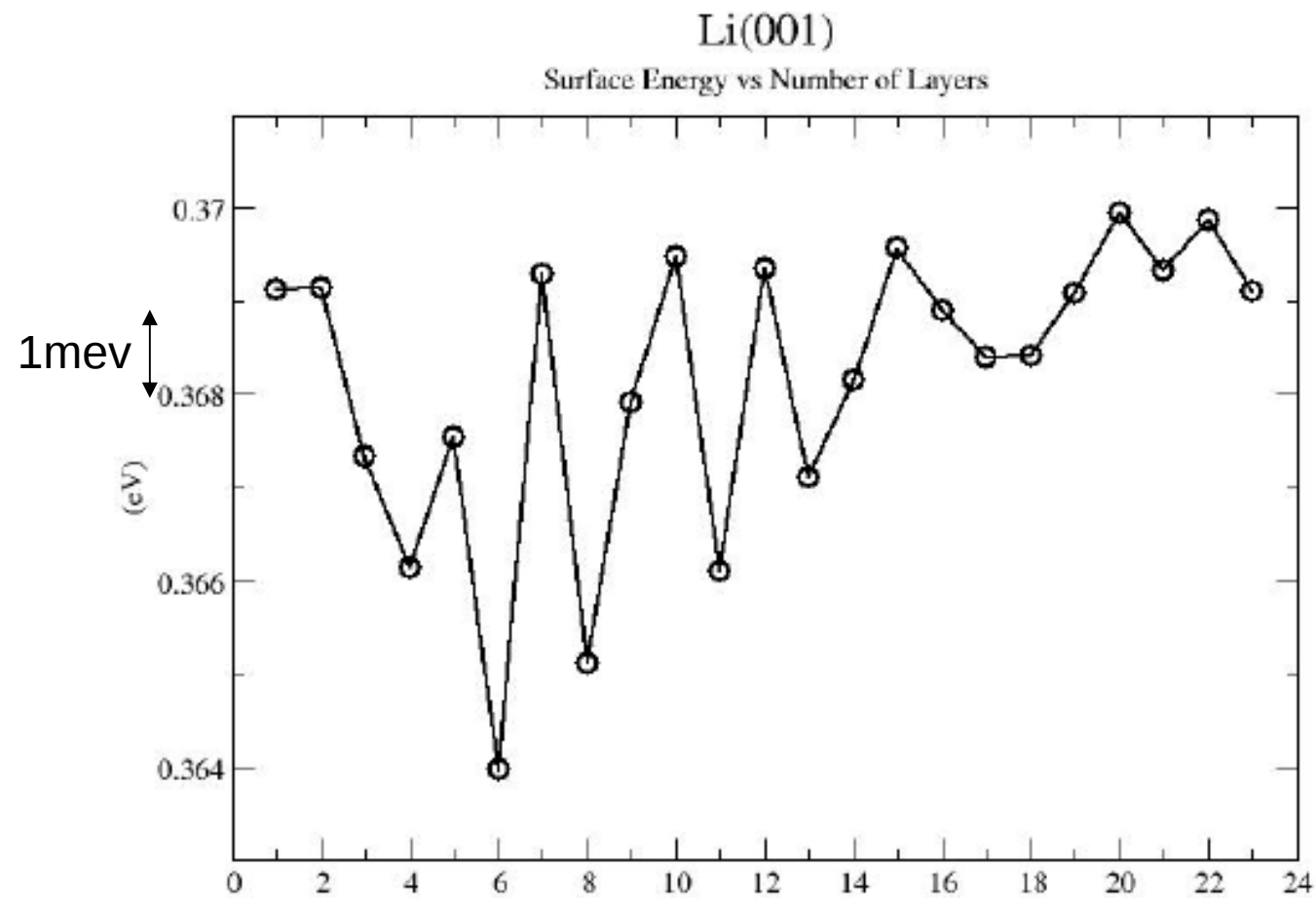


Table 2 Electron work functions^a

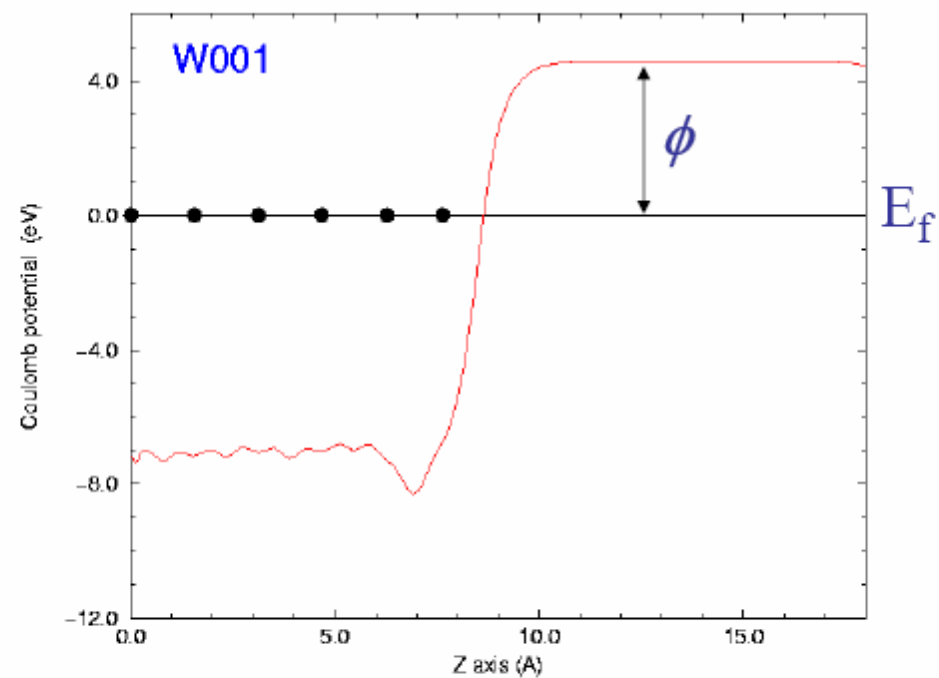
(Values obtained by photoemission, except tungsten obtained by field emission.)

Element	Surface plane	Work function, in eV
Ag	(100)	4.64
	(110)	4.52
	(111)	4.74
Cs	polycrystal	2.14
Cu	(100)	4.59
	(110)	4.48
	(111)	4.98
Ge	(111)	4.80
Ni	(100)	5.22
	(110)	5.04
	(111)	5.35
W	(100)	4.63
	(110)	5.25
	(111)	4.47

^aAfter H. D. Hagstrum

Work function for W(001) surface

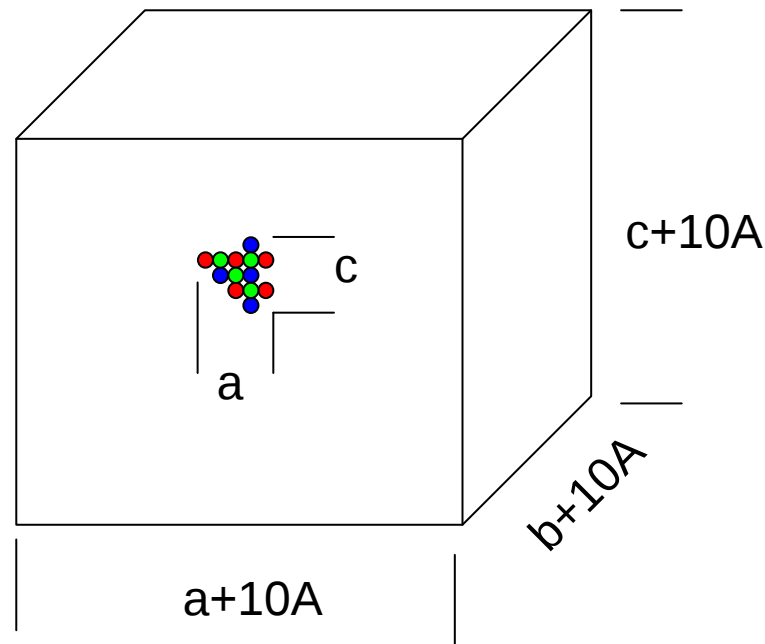
$$V(z) = \frac{1}{A} \iint V(\vec{r}) dx dy$$



Model for molecule calculation

Super cell model

No dispersion
along x,y,z direction



CMS II-8 Hands-on: surface and molecule calculation

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Institute of Physics, Academia Sinica

Work list

- Calculate DOS of W(BCC)(111) surface
- Calculate O₂ bond length

W(BCC)(111) surface

INCAR:

SYSTEM = W BCC

DOS related values

ISMEAR = -5

RWIGS = 1.45

KPOINTS:

W

0

GMonkhorst-Pack

12 12 **1**

0 0 0

POSCAR:

W BCC->HCP

1.0

4.47 0.0 0.0

-2.235 3.871133555 0.0

0.0 0.0 20.0

12

Cartesian

0.0 0.0 0.0 ! W(A)

0.0 2.58077 0.91243 ! W(C)

2.23502 1.29036 1.82480 ! W(B)

0.0 0.0 2.73722 ! W(A)

0.0 2.58077 3.64965 ! W(C)

2.23502 1.29036 4.56202 ! W(B)

0.0 0.0 5.47445 ! W(A)

0.0 2.58077 6.38688 ! W(C)

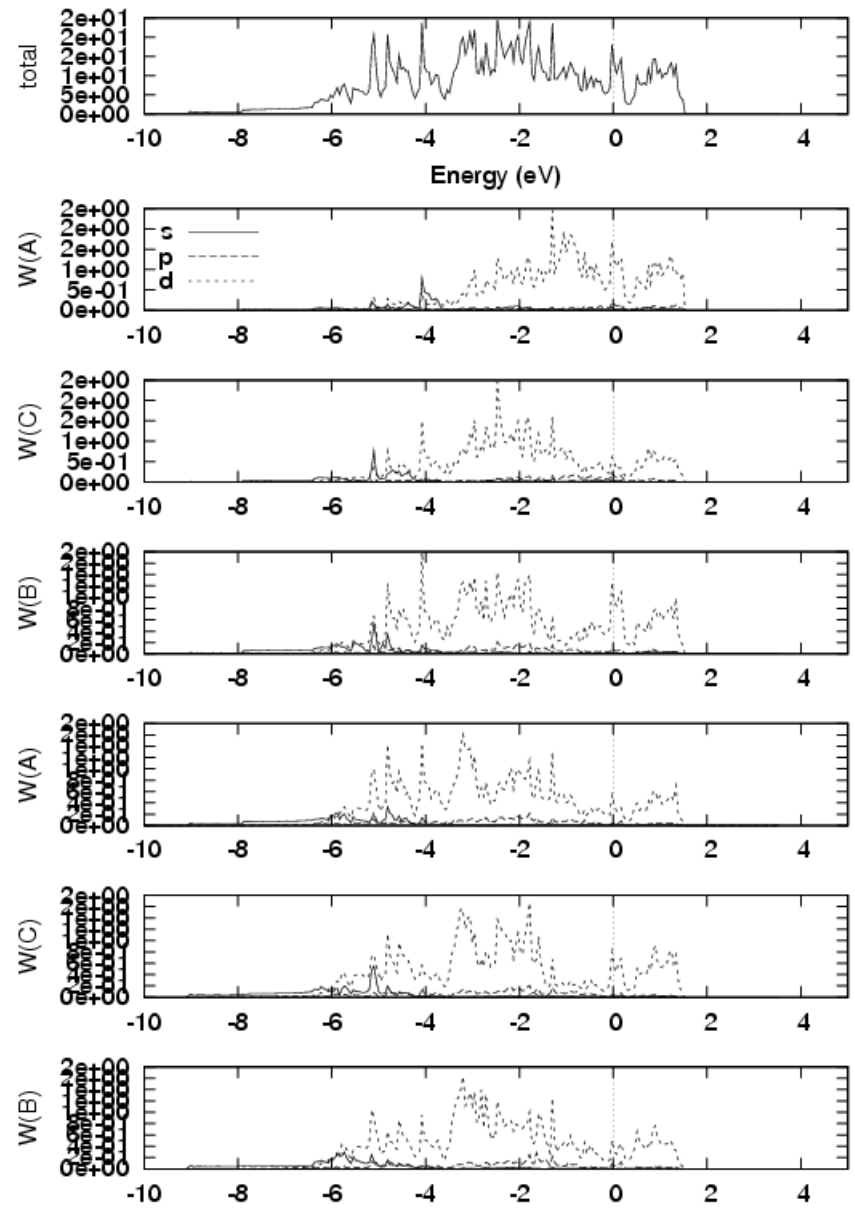
2.23502 1.29036 7.29925 ! W(B)

0.0 0.0 8.21167 ! W(A)

0.0 2.58077 9.12410 ! W(C)

2.23502 1.29036 10.0365 ! W(B)

W BCC->HCP slab 12l (LDA-12121)



O2 bond length

INCAR:

SYSTEM = O2

DOS related values

ISMEAR = 0

SIGMA = 0.01

RWIGS = 0.8

NSW = 50

ISIF = 2

IBRION = 2

POTIM = 0.1

KPOINTS:

O2

0

Monkhorst-Pack

1 1 1

0 0 0

POSCAR:

O2

1.0

10.0 0.0 0.0

0.0 10.0 0.0

0.0 0.0 10.0

2

Cartesian

0.0 0.0 0.0 ! O

0.0 0.0 1.0 ! O

Maximum number of ionic step

Relax atoms only

Ionic relaxation method (conjugate gradient)

Step size

Homework

please Email to jeng@phys.sinica.edu.tw

- Find optimized lattice structure of W(111) surface using ISIF=2 and compare the PDOS of each layer with unrelaxed ones
- Find the optimized bond length of O₂ molecule using ISIF=2