

# Electrical & Thermal Conduction

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# Thermal conductivity

$\mathbf{J}_u = -K \Delta T$ , where  $\Delta T$  is the temperature gradient.

$\mathbf{J}_u$  = flux of thermal energy

$$\mathbf{J}_A = \text{flux of } (A) = \frac{\Delta A}{\Delta t} / \text{unit area} = \rho_A \cdot \mathbf{v}.$$

Thermal energy density gained due to particle flow between  $t$  and  $t + \tau$ .

$$u = -nc\Delta T = -C\Delta T, \quad C = \text{heat capacity/volume} = -C\left(\frac{dT}{dx}\right)v_x\tau.$$

$v_x\tau$  = distance travelled within time  $\tau$ .  $\tau$  = mean free time.

$$J_u = \langle ncx \rangle = -c\left(\frac{dT}{dx}\right) \langle v_x^2 \rangle \tau = -\frac{1}{3}c \langle v^2 \rangle \tau \left(\frac{dT}{dx}\right) = -\frac{1}{3}Cvl\left(\frac{dT}{dx}\right),$$

where  $v = \sqrt{\langle v^2 \rangle}$  = average particle velocity,  $l = v\tau$  = mean free path

$$\Rightarrow K = \frac{1}{3}Cvl \quad \dots \text{ valid for both phonons and electrons.}$$

Phonon mean free path is determined by phonon-defect scattering or phonon-phonon scattering.

$$l^{-1} \propto \text{scattering rate} \propto \text{number of phonons available}$$

$$\langle n \rangle = (e^{\hbar\omega/k_B T} - 1)^{-1} = k_B T / \hbar\omega \quad \text{for } k_B T \gg \hbar\omega.$$

So, at high temperature (  $k_B T \gg \hbar\omega$  ) number of phonons  $\propto T$  and  $l \propto 1/T$  due to phonon-phonon scattering.

Note: Any momentum-conserving scattering ( $\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3$ ) (N-process) will lead to no change in thermal current. Only the Umklapp process (U-process), in which  $\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{G}$ ,  $\mathbf{G}$  = a nonzero reciprocal lattice vector, can change the thermal current and establish thermal equilibrium.

★ Geometric effect (phonon-scattering due to boundaries)

$l$  is limited by the width of the sample ( $D$ ). When phonon-phonon scattering becomes negligible at the low temperatures, the geometric effect prevails, and we have  $K \sim CvD$ . Thus,

$$K \sim \begin{cases} T^3 & \text{as } T \rightarrow 0 \\ T^{-1} & \text{as } T > \Theta_D \end{cases}$$

# Electron dynamics

Electron velocity:

$$\langle \mathbf{p} \rangle = -i \int d^3r \psi^*(\mathbf{r}) \nabla \psi(\mathbf{r}),$$

where

$$\psi(\mathbf{r}) = e^{i\mathbf{k} \cdot \mathbf{r}} u(\mathbf{r})$$

$$\langle \mathbf{p} \rangle = \hbar \mathbf{k} - i \hbar \int d^3r u^*(\mathbf{r}) \nabla u(\mathbf{r}) = \hbar \mathbf{k} + \langle \mathbf{p} \rangle_u$$

Now consider the S.E. for  $u$

$$Hu(\mathbf{r}) = \left[ -\frac{\hbar^2}{2m} \nabla^2 + \frac{\hbar}{m} \vec{k} \cdot \vec{p} + V_0(\mathbf{r}) \right] u(\mathbf{r}) = \left[ E(\mathbf{k}) - \frac{\hbar^2 k^2}{2m} \right] u(\mathbf{r})$$

$$\langle \mathbf{p} \rangle_u = (m/\hbar) \langle \partial H / \partial \mathbf{k} \rangle_u = (m/\hbar) \partial E(\mathbf{k}) / \partial \mathbf{k} - \hbar \mathbf{k} \dots \dots \text{Feynman-Hellman Thm.}$$

So, we obtain the group velocity for electron in solids

$$\mathbf{v}_k = \langle \mathbf{p} \rangle / m = (1/\hbar) \partial E(\mathbf{k}) / \partial \mathbf{k}$$

# Free Electron Gas

Electrons in the conduction band of a semiconductor or metal behave like free moving particles with effective mass  $m$ , which is usually smaller than the bare electron mass. A free particle satisfies the Schrödinger equation

$$-\frac{\hbar^2}{2m}(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2})\psi(\mathbf{r}) = \epsilon\psi(\mathbf{r})$$

[Note:  $\mathbf{p} = \hbar\mathbf{k} = i\hbar\nabla$  in QM]

Solution:  $\psi(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}$  subject to periodic boundary conditions  $\psi(0) = \psi(L)$

$$\Rightarrow k_x = n_x(\frac{2\pi}{L}), k_y = n_y(\frac{2\pi}{L}), k_z = n_z(\frac{2\pi}{L}),$$

$$n_x, n_y, n_z \text{ are integers} \Rightarrow \sum_{\mathbf{k}} = \frac{V}{(2\pi)^3} \int d^3k.$$

Dispersion relation:  $\epsilon(\mathbf{k}) = \frac{\hbar^2}{2m}k^2$ .

DOS:  $D(\epsilon) = \sum_{\mathbf{k}} \delta(\epsilon - \epsilon(\mathbf{k}))$

$$N(\epsilon) = \sum_{\mathbf{k}} \theta(\epsilon - \epsilon(\mathbf{k})) = \sum_{\mathbf{k}} \theta(\bar{k} - |k|)|_{\bar{k}=\sqrt{2mE}/\hbar} = \frac{V}{(2\pi)^3} \frac{4\pi}{3} \bar{k}^3 = \frac{V}{2\pi^2} \frac{1}{3} \left(\frac{2mE}{\hbar^2}\right)^{3/2}.$$

$$D(\epsilon) \frac{dN}{d\epsilon} = \frac{V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \epsilon^{1/2}.$$

Spin degeneracy: Each electron has two internal degrees of freedom, which [Cf: each phonon has three internal degrees of freedom] are described by spin-1/2 (up and down).

# Fermi-Dirac distribution

Average occupancy of a state with energy  $\epsilon$  in a system maintained at constant temperature ( $\tau = k_B T$ ) and chemical potential ( $\mu$ ) is

$$f(\epsilon) = \frac{1}{e^{(\epsilon-\mu)/\tau} + 1}.$$

Gibbs factor: The relative probability of finding a system with  $N$  particles and energy  $\epsilon_N$  in thermal and diffusive contact with a reservoir with temperature  $\tau$  and chemical potential  $\mu$  is

$$G(\epsilon) = \frac{1}{\mathcal{Z}} e^{-(\epsilon_N - \mu N)/\tau}; \mathcal{Z} = \sum_N e^{-(\epsilon_N - \mu N)/\tau}$$

$$\Rightarrow \langle n \rangle_\epsilon = \frac{1}{\mathcal{Z}} e^{-(\epsilon - \mu)/\tau} \equiv f(\epsilon)$$

for fermions, where  $\mathcal{Z} = 1 + e^{-(\epsilon - \mu)/\tau}$ .

Meaning of Chemical potential for fermions: At  $\tau = 0$ ,  $\mu(0) = \epsilon_F$  is the energy (Fermi energy) below which all states are occupied and above which all states are empty. At finite  $\tau$ , the states with energy near  $\mu(\tau)$  are partially occupied, and the level  $\mu(\tau)$  is determined by either the reservoir in contact or the total number of particles in the system,  $N$  ( $N \ll 1$ ).

$$N = 2 \sum_{\mathbf{k}} f(\epsilon(k)) = \int D(\epsilon) f(\epsilon) d\epsilon = 2 \frac{V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \int_0^\infty \epsilon^{1/2} \frac{1}{e^{(\epsilon-\mu)/\tau} + 1} d\epsilon.$$

The factor 2 is due to spin degeneracy. At  $\tau \rightarrow 0, \mu \rightarrow \epsilon_F$

$$\begin{aligned} N &= \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \int_0^{\epsilon_F} \epsilon^{1/2} d\epsilon = \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \left(\frac{2}{3} \epsilon_F^{3/2}\right) \\ &= \frac{V}{3\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \epsilon_F^{3/2} \Rightarrow D(\epsilon) = \left(\frac{3N}{2\epsilon_F}\right) \left(\frac{\epsilon}{\epsilon_F}\right)^{1/2}. \end{aligned}$$

Fermi wave vector  $k_F$  is related to  $\epsilon_F$  via

$$\epsilon_F = \frac{\hbar^2}{2m} k_F^2 \quad \text{or} \quad k_F = \sqrt{2m\epsilon_F}/\hbar.$$

It is related to N via

$$\begin{aligned} N &= 2 \sum_{\mathbf{k}} f(\epsilon(k)) = 2 \sum_{\mathbf{k}} \theta(k_F - |k|) = 2 \frac{V}{(2\pi)^3} \int d^3k \theta(k_F - |k|) = \frac{V}{3\pi^2} k_F^3 \\ \text{or } k_F &= (3\pi^2 N/V)^{1/3} \end{aligned}$$

Fermi velocity,  $v_F$

$$v_F = p_F/m = \hbar k_F/m = \left(\frac{\hbar}{m}\right) (3\pi^2 N/V)^{1/3}$$

# Electronic Heat Capacity

Total electron energy at temperature  $\tau$  is

$$U(\tau) = \int D(\epsilon) \epsilon f(\epsilon) d\epsilon = \left( \frac{3N}{2\epsilon_F^{3/2}} \right) \int \epsilon^{3/2} f(\epsilon) d\epsilon = \left( \frac{3N}{2\epsilon_F^{3/2}} \right) \frac{2}{5} \epsilon_F^{5/2}$$

$$= \frac{3}{5} \epsilon_F \quad \text{as } \tau \rightarrow 0.$$

$$C_{el} = \frac{\partial U}{\partial T} = \left( \frac{\partial U}{\partial \tau} \right) k_B.$$

$$\left( \frac{\partial U}{\partial \tau} \right) = \int_0^\infty \epsilon D(\epsilon) \frac{\partial f}{\partial \tau} d\epsilon$$

$$\text{and since } \epsilon_F \frac{\partial N}{\partial \tau} = 0 = \int_0^\infty \epsilon_F D(\epsilon) \left( \frac{\partial f}{\partial \tau} \right) d\epsilon$$

$$\Rightarrow C_{el}/k_B = \int_0^\infty (\epsilon - \epsilon_F) D(\epsilon) \left( \frac{\partial f}{\partial \tau} \right) d\epsilon$$

$$\frac{\partial f}{\partial \tau} = \frac{\partial}{\partial \tau} \left[ \frac{1}{e^{(\epsilon - \mu)/\tau} + 1} \right] = \frac{\partial}{\partial x} \left( \frac{1}{e^x + 1} \right) \frac{\partial x}{\partial \tau} = \frac{e^x}{(e^x + 1)^2} \frac{x}{\tau},$$

$$\text{where } \mu(\tau) = \epsilon_F + \frac{\partial \mu}{\partial \tau} \tau ; x \equiv (\epsilon - \epsilon_F)/\tau.$$

$\partial f / \partial \tau$  is sharply peaked at  $x = 0$  (or  $\epsilon = \epsilon_F$ ).

$$\Rightarrow C_{el} = D(\epsilon_F) \int_{-\epsilon_F/\tau}^\infty \frac{x^2 e^x}{(e^x + 1)^2} (\tau dx) = \tau \left( \frac{3N}{2\epsilon_F} \right) \int_{-\infty}^\infty \frac{x^2 e^x}{(e^x + 1)^2} dx \quad (\text{for } \tau \ll \epsilon_F) = \frac{1}{2} \pi^2 N(\tau / \epsilon_F).$$



Heat capacity in metals:

$$C_v = C_{el} + C_{ph} = \gamma T + AT^3 \quad \text{for low temperatures .}$$

$$\gamma = \frac{1}{2}\pi^2 N k_B / \epsilon_F, \quad A = \frac{3}{5}\pi^4 N_a k_B / \Theta^3.$$

Electrical conductivity:

$$\mathbf{F} = m \frac{d\mathbf{v}}{dt} = \hbar \frac{d\mathbf{k}}{dt} = -e\mathbf{E},$$

$$\Delta\mathbf{k} = -(e\mathbf{E}/\hbar)\Delta t \quad \text{or} \quad \Delta\mathbf{v} = -\left(\frac{e\mathbf{E}}{m}\right)\Delta t$$

Let  $\Delta t = \tau$  ... mean free time (due to scattering)

$$\mathbf{J} = n(-e) \langle \mathbf{v} \rangle = n(-e)\Delta\mathbf{v} = (ne^2\tau/m)\mathbf{E} = \sigma\mathbf{E}$$

$\sigma = (ne^2\tau/m)$  is called the conductivity.

# Relation between thermal and electrical conductivity

Note: At  $\mathbf{E} = 0$ , Fermi sphere is centered at 0 and we have  $\langle \mathbf{v} \rangle = 0$ , whereas as finite  $\mathbf{E}$ , Fermi sphere is displaced and  $\langle \mathbf{v} \rangle = \Delta v = -eE\tau/m$ .

Resistivity  $\rho = 1/\sigma = m/(ne^2\tau)$ .

Mean free path  $l = v_F\tau$  (not  $\langle v \rangle \tau$  here).

In Cu,  $v_F \approx 1.6 \times 10^8 \text{ cm/s}$ ,  $\tau \approx 2 \times 10^{-9} \text{ s}$  (at 4K) and  $\approx 2 \times 10^{-14} \text{ s}$  (at 300K)

$l \approx 0.3 \text{ cm}$  (at 4K) and  $10^{-6} \text{ cm}$  (at 300K).

Thermal conductivity:

$$K = \frac{1}{3}cvl ; c = C_{el}/\text{volume} = \frac{1}{2}\pi^2n\left(\frac{k_B T}{\epsilon_F}\right)$$

$$v \sim v_F \text{ and } l \approx v_F\tau.$$

$$\Rightarrow K = \frac{1}{3}\frac{1}{2}\pi^2n\left(\frac{k_B T}{\frac{1}{2}mv_F^2}\right) \cdot v_F^2\tau = \frac{\tau\pi^2nk_B^2T}{3m}$$

$$\frac{K}{\sigma} = K/(ne^2\tau/m) = \frac{\pi^2}{3}\left(\frac{k_B}{\sigma}\right)^2T \equiv L \cdot T.$$

# Scattering mechanisms

1. Electron-phonon scattering ( $\frac{1}{\tau_L}$ )
2. Electron-defect scattering ( $\frac{1}{\tau_i}$ ) (insensitive to temperature).

Defects include impurities and lattice imperfections.

3. Electron-electron scattering. ( $\frac{1}{\tau_e}$ ).

$$\frac{1}{\tau} = \frac{1}{\tau_L} + \frac{1}{\tau_i} + \frac{1}{\tau_e}$$

$$\text{or, } \rho = \rho_L + \rho_i + \rho_e.$$

$\rho_L \sim T^5$  at low temperatures (mostly due to normal electron-phonon scattering). In transport theory, we have  $\langle A \rangle = \frac{1}{N} \sum_{\mathbf{k}} f(\mathbf{k}) A(\mathbf{k})$ , where  $f(k)$  is the non-equilibrium electron distribution.

$N = \sum_{\mathbf{k}} f(\mathbf{k})$  is the number of electrons

$$f(k) \rightarrow f_0(k) = \{e^{[\epsilon(k)-\mu]/\tau} + 1\}^{-1}$$

when thermal equilibrium is reached.

$$\mathbf{J} = n(-e) \langle \mathbf{v} \rangle = (-e) \frac{1}{V} \sum_{\mathbf{k}} f(k) \left( \frac{\hbar \mathbf{k}}{m} \right).$$

$f(k)$  is determined by the Boltzmann transport equation. In its simplest form, we have

# Boltzmann transport theory

We assume that there exists a distribution function  $f(\mathbf{k}, \mathbf{r}, t)$ , which measures the number of carriers in state  $\mathbf{k}$  in the neighbor of  $\mathbf{r}$  at time  $t$ . The values of  $\mathbf{k}$  and  $\mathbf{r}$  are somewhat vague, subject to the uncertainty  $\Delta k \Delta r = \hbar$ . The "Average" of a physical quantity  $A(\mathbf{r}, \mathbf{k}, t)$  is given by

$$\langle A(\mathbf{r}, t) \rangle = \int f(\mathbf{k}, \mathbf{r}, t) A(\mathbf{r}, \mathbf{k}, t) \frac{d^3 k}{4\pi^3}, \quad (n_0(\mathbf{r}) = \int f(\mathbf{k}, \mathbf{r}, t) \frac{d^3 k}{4\pi^3})$$

$$\text{e.g. } J = e \int \mathbf{v}(\mathbf{k}) f(\mathbf{k}, \mathbf{r}, t) \frac{d^3 k}{4\pi^3} \dots \text{ electric current.}$$

The dynamics of carriers in solid is described by

$$\dot{\mathbf{k}} = -e[\mathbf{E} + \frac{1}{c} \mathbf{v}_k \times \mathbf{H}] = \mathbf{F}; \quad \mathbf{k} = \text{crystal momentum.}$$

$$\dot{\mathbf{r}} = \mathbf{v}_k = \partial \epsilon(\mathbf{k}) / \partial \mathbf{k}; \quad \epsilon(\mathbf{k}) = \text{band energy.}$$

If no collision occurs, the number of particles is conserved, i.e.

$$f(\mathbf{r} + \mathbf{v}dt, \mathbf{k} + \mathbf{F}dt, t + dt) = f(\mathbf{r}, \mathbf{k}, t).$$

$$\frac{df}{dt} = \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{r}} + \mathbf{F} \cdot \frac{\partial f}{\partial \mathbf{k}} + \frac{\partial f}{\partial t} = 0.$$

with collisions:

$$\begin{aligned} \frac{df}{dt} &= \left( \frac{\partial f}{\partial t} \right)_{\text{coll}} = \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{r}} + \mathbf{F} \cdot \frac{\partial f}{\partial \mathbf{k}} + \frac{\partial f}{\partial t} \\ &= - \left( \frac{\partial f}{\partial t} \right)_{\text{diff}} - \left( \frac{\partial f}{\partial t} \right)_{\text{field}} + \frac{\partial f}{\partial t} \dots \text{ Boltzmann's equation.} \\ &\Rightarrow \frac{\partial f}{\partial t} = \left( \frac{\partial f}{\partial t} \right)_{\text{diff}} + \left( \frac{\partial f}{\partial t} \right)_{\text{field}} + \left( \frac{\partial f}{\partial t} \right)_{\text{coll}} \end{aligned}$$

total rate of change of distribution at  $(\mathbf{u}, \mathbf{r}, t)$ . At steady state,  $\frac{\partial f}{\partial t} = 0$ .

# Scattering rate

$$\begin{aligned}\left(\frac{\partial f}{\partial t}\right)_{coll} &= -(\text{out-scattering rate}) + (\text{in-scattering rate}) \\ &= -\sum_{k'} f_k (1 - f_{k'}) S_{kk'} + \sum_{k'} f_{k'} (1 - f_k) S_{kk'}.\end{aligned}$$

$S_{kk'}$  = scattering rate from state  $\mathbf{k}$  to state  $\mathbf{k}'$ . e.g.

(i) Electron-LA phonon scattering

$$\begin{aligned}S_{kk'} &= 2\pi |M_q|^2 [\delta(\epsilon_k - \epsilon_{k'} - \omega_q)(N_q + 1) + \delta(\epsilon_k - \epsilon_{k'} + \omega_q)N_q]_{q=k-k'} \\ &= 2\pi |M_{k-k'}|^2 (2N_{k-k'} + 1) \delta(\epsilon_k - \epsilon_{k'}) \text{ (ignore } \omega_q). \text{ (nearly elastic scattering)}\end{aligned}$$

(ii) Electron-LO phonon scattering (inelastic)

$$S_{kk'} = 2\pi |M_{k-k'}|^2 [\delta(\epsilon_k - \epsilon_{k'} - \omega_0)(N_0 + 1) + \delta(\epsilon_k - \epsilon_{k'} + \omega_0)N_0]$$

(iii) Electron-impurity scattering (elastic)

$$S_{kk'} = 2\pi | \langle k | V_{imp} | k' \rangle |^2 \delta(\epsilon_k - \epsilon_{k'}),$$

where  $V$  is the impurity potential. Microscopic reversibility ( for elastic scattering)

$$S_{kk'} = S_{k'k}.$$

Linearized Boltzmann equation: (valid in low-field case)

Use  $S_{kk'} = S_{k'k}$  (elastic scattering), We have

$$\left(\frac{\partial f}{\partial t}\right)_{coll} = \int (f_k - f_{k'}) S_{kk'} d^3 k' = - \int [(f_k - f_k^0) - (f_{k'} - f_{k'}^0)] S_{kk'} d^3 k'$$

$$\text{since } f_{k'}^0 = f_k^0 = [e^{\beta(\epsilon_k - \mu)} + 1]^{-1}.$$

Assume a constant temperature gradient and electrostatic field,

$$\mathbf{v}_k \cdot \frac{\partial f}{\partial \mathbf{r}} = \mathbf{v}_k \cdot \frac{\partial f_k^0}{\partial T} \nabla T, \quad \mathbf{F} \cdot \frac{\partial f}{\partial \mathbf{k}} = -e \mathbf{E} \cdot \mathbf{v}_k \frac{\partial f_k^0}{\partial \epsilon_k}$$

$$\Rightarrow \mathbf{v}_k \cdot \frac{\partial f_k^0}{\partial T} \nabla T - e \mathbf{E} \cdot \mathbf{v}_k \frac{\partial f_k^0}{\partial \epsilon_k} = \sum_k [(f_k - f_k^0) - (f_{k'} - f_{k'}^0)] S_{kk'}.$$

For spherical energy band,  $\epsilon_{\mathbf{k}} = \epsilon(k) = \epsilon(k')$

$$\Rightarrow k = k', \quad \mathbf{v}_k = \frac{\partial \epsilon_{\mathbf{k}}}{\partial \mathbf{k}} = v_k \hat{k}.$$

Try the solution  $f_k - f_k^0 = \mathbf{a}(k) \cdot \hat{k}$

$$\Rightarrow \left(\frac{\partial f}{\partial t}\right)_{coll} = -\mathbf{a}(k) \cdot \sum_{k'} S_{kk'} (\hat{k} - \hat{k}')$$

$$= -\mathbf{a}(k) \cdot \hat{k} \sum_{k'} S(k, \hat{k} \cdot \hat{k}') (1 - \hat{k} \cdot \hat{k}') = -(\mathbf{a}(k) \cdot \hat{k}) / \tau(k).$$

(\*Note:  $S_{kk'}$  is a function of  $k = k'$  and  $|\mathbf{k} - \mathbf{k}'|$  only, or  $S_{kk'} = S(k, \hat{k} \cdot \hat{k}')$ )

$$\text{and } \mathbf{a}(k) = -v_k \left( \frac{\partial f_k^0}{\partial T} \nabla T - e \mathbf{E} \frac{\partial f_k^0}{\partial \epsilon_k} \right) \tau(k) \quad \dots \text{ exact solution.}$$

# Relaxation-time approximation

$$\left(\frac{\partial f}{\partial t}\right)_{coll} = -(f_k - f_k^0)/\tau(k)$$
$$\frac{1}{\tau(k)} = \int d^3 k' S(k, \theta') (1 - \cos \theta').$$

In the absence of field and with constant relaxation time,  $\tau$ , we have

$$\left(\frac{\partial f_k}{\partial t}\right) = -(f_k - f_k^0)/\tau.$$

with solution

$$f_k(t) = f_k^0 + (f_k(0) - f_k^0)e^{-t/\tau}.$$

In the low field limit, we have

$$\left(\frac{\partial f_k}{\partial t}\right) = e\mathbf{E} \cdot \mathbf{v}_k \frac{\partial f_k^0}{\partial \epsilon_k} - (f_k - f_k^0)/\tau.$$

or (let  $g_k = f_k - f_k^0$ )

$$\left(\frac{\partial g_k}{\partial t}\right) = e\mathbf{E} \cdot \mathbf{v}_k \frac{\partial f_k^0}{\partial \epsilon_k} - g_k/\tau.$$

with solution

$$g_k(t) = e\mathbf{E} \cdot \mathbf{v}_k \frac{\partial f_k^0}{\partial \epsilon_k} [1 - e^{-t/\tau}].$$

# DC conductivity

Consider the case  $\nabla T = 0$  and  $\mathbf{E} = \text{constant}$ .

$$f_k = f_k^0 + e\mathbf{E} \cdot \mathbf{v}_k \left( \frac{\partial f_k^0}{\partial \epsilon_k} \right) \tau(k)$$

$$J_i = -e \int \frac{d^3 k}{4\pi^3} v_i(\mathbf{k}) f(\mathbf{k}) = e^2 \sum_j E_j \int \frac{d^3 k}{4\pi^3} v_i(\mathbf{k}) v_j(\mathbf{k}) \left( -\frac{\partial f_k^0}{\partial \epsilon_k} \right) \tau(k) = \sum_j \sigma_{ij} E_j.$$

$$\sigma_{ij} = e^2 \int \frac{d^3 k}{4\pi^3} v_i(\mathbf{k}) v_j(\mathbf{k}) \left( -\frac{\partial f_k^0}{\partial \epsilon_k} \right) \tau(k) \dots \text{conductivity tensor}$$

Good approx. for metals

NOTE:  $\mathbf{v}(\mathbf{k}) \frac{\partial f_k^0}{\partial \epsilon_k} = \frac{\partial f_k^0}{\partial \epsilon_k} \frac{\partial \epsilon_k}{\partial \mathbf{k}} = \frac{\partial f_k^0}{\partial \mathbf{k}}$

So,  $\sigma_{ij} = e^2 \tau(k_F) \int \frac{d^3 k}{4\pi^3} \frac{\partial}{\partial k_j} (v_i(\mathbf{k})) f_k^0$  (integration by parts)

$$= e^2 \tau(k_F) \int \frac{d^3 k}{4\pi^3} f_k^0 (\epsilon_k) m_{ij}^{-1}(\mathbf{k}) \dots \text{effective mass tensor}$$

$$= [ne\tau(k_F)/m^*] \delta_{ij} \text{ (for spherical nondegenerate bands).}$$



# Electron mobility

- Temperature dependence of electron mobility in semiconductors:

$$\mathbf{J} = -en_0 \langle v \rangle = -e^2 \int \frac{d^3 k}{4\pi^3} \tau(k) \mathbf{v}_k (\mathbf{v}_k \cdot \mathbf{E}) \frac{\partial}{\partial E_k} f^0(E_k)$$

(in effective-mass approximation)

$$= \left[ -\frac{2}{3} \frac{e^2}{m^*} \int \frac{d^3 k}{4\pi^3} \tau(k) \epsilon_k \frac{\partial f^0}{\partial \epsilon_k} \right] \mathbf{E} = \frac{n_0 e^2}{m^*} \langle \tau \rangle \mathbf{E} = \sigma \mathbf{E} = en_0 \mu \mathbf{E}.$$

$$\langle \tau \rangle = \frac{2}{3n_0} \int \frac{d^3 k}{4\pi^3} \tau(k) \epsilon_k \left( -\frac{\partial f^0}{\partial \epsilon_k} \right) = \frac{1}{n_0} \int \frac{d^3 k}{4\pi^3} \tau(k) v_z(k) \left( -\frac{\partial f^0}{\partial v_z} \right) \dots \text{relaxation time}$$

$$\sigma = n_0 e^2 \langle \tau \rangle / m^* \dots \text{DC conductivity ; } \mu = e \langle \tau \rangle / m^* \dots \text{mobility}$$

$$\langle \tau \rangle \propto T^r \text{ for non-degenerate electron gas } (T > 100K).$$

$r = -3/2, -1/2, 0, 3/2$  for LA-deformation potential, piezoelectric, neutral impurity, and ionized impurity scattering, respectively.

# AC conductivity

Use the relaxation-time approximation:

$$\frac{\partial f}{\partial t} + e\mathbf{E}(t) \cdot \frac{\partial f}{\partial \mathbf{k}} = \frac{f - f^0}{\tau(k)}$$

Define  $f = f^0 + g(t)$  and linearize to get

$$\frac{\partial g(t)}{\partial t} + e\mathbf{E}(t) \cdot \frac{\partial f^0}{\partial \mathbf{k}} = -\frac{g(t)}{\tau(k)}.$$

Assign  $e^{i\omega t}$  dependence to  $g(t)$  and  $\mathbf{E}(t)$

$$\Rightarrow [i\omega + \frac{1}{\tau(k)}]g(\omega) = -e\mathbf{E}(\omega) \frac{\partial f^0}{\partial \mathbf{k}}.$$

$$\text{So, } \mathbf{j}(\omega) = e^2 \int \frac{d^3 k}{4\pi^3} [i\omega + \frac{1}{\tau_k}]^{-1} \mathbf{v}_k \mathbf{v}_k \cdot \mathbf{E}(\omega) (-\frac{\partial f^0}{\partial \epsilon_k}) = \sigma(\omega) \cdot \mathbf{E}$$

$$\text{or } \sigma_{ij}(\omega) = e^2 \int \frac{d^3 k}{4\pi^3} [i\omega + \frac{1}{\tau(k)}]^{-1} v_i v_j (-\frac{\partial f^0}{\partial \epsilon_k})$$

NOTE: as  $\omega \rightarrow \infty$ , we have

$$\frac{e^2}{i\omega} \int \frac{d^3 k}{4\pi^3} v_i(k) (-\frac{\partial}{\partial k_j} f^0) = \frac{e^2}{i\omega} \int \frac{d^3 k}{4\pi^3} f^0(\epsilon_k) \frac{\partial^2 \epsilon}{\partial k_i \partial k_j} = \frac{n_0 e^2}{i\omega} < m_{ij}^{*-1} >_0 .$$

$$R_e \sigma_{ij}(\omega) = e^2 \int \frac{d^3 k}{4\pi^3} \frac{\tau(k)}{1 + \omega^2 \tau^2(k)} v_i(k) v_j(k) (-\frac{\partial f^0}{\partial \epsilon_k})$$

$$I_m \sigma_{ij}(\omega) = e^2 \int \frac{d^3 k}{4\pi^3} \frac{\omega \tau^2(k)}{1 + \omega^2 \tau^2(k)} v_i(k) v_j(k) (-\frac{\partial f^0}{\partial \epsilon_k}).$$

# Electron conduction in metals

Electron distribution is degenerate in metals,

$$-\frac{\partial f^0}{\partial \epsilon_k} \approx \delta(\epsilon_{k'} - \epsilon_F)$$

$$\text{So, } \sigma = n_0 e^2 \langle \tau \rangle / m^* \text{ with } \langle \tau \rangle = \frac{2}{3} \int \frac{d^3 k}{4\pi^3} \tau(k) \epsilon_k \left( -\frac{\partial f^0}{\partial \epsilon_k} \right) \approx \tau(\epsilon_F).$$

Resistivity,  $\rho = \sigma^{-1} = \sum_i \rho_i$  (from various mechanisms) ... Mathiessen's rule. [since  $\tau^{-1} = \sum_i \tau_i^{-1}$ ]

(i) impurity scatterings:  $\tau(\epsilon_F)$  is independent of  $T$ , so is  $\rho_I$ .

(ii) Acoustic-phonon deformation:

$$\tau(\epsilon_F)^{-1} \propto T \Rightarrow \rho_{ac} \propto T \text{ (for } T \ll \theta_D)$$

For  $T < \Theta_D$ , we cannot use the approximation  $N_q = \frac{k_B T}{\omega_q} - \frac{1}{2}$ . In this case, we cannot use the relaxation-time approximation since  $S_{kk'} \neq S_{kk'}$  when  $N_q$  and  $(N_q + 1)$  are substantially different. Here, we have to consider large-angle scattering with  $q = |\mathbf{k} - \mathbf{k}'| \approx k_F$ ; hence the maximum acoustic phonon frequency is close to  $\Theta_D$ .

# Electron motion in B field

$$\frac{d}{dt} \langle A \rangle = -\frac{1}{\tau} \langle A \rangle + \text{driving term} \quad \dots \text{equation of motion due to collision.}$$

$$\text{Let } A = \mathbf{v} \Rightarrow \frac{d}{dt} \langle \mathbf{v} \rangle = -\frac{1}{\tau} \langle \mathbf{v} \rangle + \frac{1}{m} \mathbf{F} \quad \dots \text{external force}$$

$$\mathbf{F} = -e[\mathbf{E} + \frac{1}{c} \langle \mathbf{v} \rangle \times \mathbf{B}] \quad \dots \text{Lorentz force.}$$

$$\text{Let } \mathbf{B} = B_z \hat{z}$$

$$\Rightarrow m\left(\frac{d}{dt} + \frac{1}{\tau}\right)v_x = -e\left(E_x + \frac{B}{c}v_y\right)$$

$$m\left(\frac{d}{dt} + \frac{1}{\tau}\right)v_y = -e\left(E_y - \frac{B}{c}v_x\right)$$

$$m\left(\frac{d}{dt} + \frac{1}{\tau}\right)v_z = -eE_z.$$

$$\text{In steady state } \frac{d}{dt} \langle \mathbf{v} \rangle = 0$$

$$\Rightarrow v_x = -\frac{e\tau}{m}E_x - \omega_c\tau v_y, \quad v_y = -\frac{e\tau}{m}E_y + \omega_c\tau v_x, \quad v_z = -\frac{e}{\tau}mE_z$$

$$\text{or } \mathbf{v} = -\frac{e\tau}{m}\mathbf{E} - \tau\mathbf{v} \times \vec{\omega}_c,$$

where  $\omega_c = eB/mc$  is the "cyclotron frequency".

$$\text{Let } \mathbf{E} = \mathbf{E}_l + \mathbf{E}_t, \text{ where } \mathbf{E}_l \parallel \mathbf{v} \text{ and } \mathbf{E}_t \perp \mathbf{v}$$

$$\Rightarrow \mathbf{v} = -\frac{e\tau}{m}\mathbf{E}_l \quad \text{and} \quad \frac{e\tau}{m}\mathbf{E}_t = -\tau\mathbf{v} \times \vec{\omega}_c.$$

# Hall Effect

For an applied  $\mathbf{E}$  field along x, we expect  $v_x = -\frac{e\tau}{m}E_x$ .

Current  $J_x = n(-e)v_x = \frac{ne^2\tau}{m}E_x$  independent of sign of charge .

$$\mathbf{E}_t = \left(\frac{m}{e}\right)\mathbf{v} \times \vec{\omega}_c = \left(\frac{m}{e}\right)v_x\left(\frac{eB}{mc}\right)\hat{y} = -\left(\frac{\tau eB}{mc}\right)E_x\hat{y}.$$

Define  $R_H = \frac{E_y}{J_x B} = \left(\frac{m}{ne^2\tau B}\right)\frac{E_y}{E_x} = -\frac{1}{nec}$  ... Hall coefficient,

which depends on the sign of charge.

$\rho_H = \frac{E_y}{J_x} = -\frac{B}{nec}$  is the Hall resistance.